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# Bose-Fermi-Hubbard model: Pseudospin operator approach

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### ABSTRACT

The thermodynamics of the Bose-Fermi-Hubbard model with direct interaction between neighbour bosonic particles is considered in this work at finite temperature. The hard-core boson case is considered and the pseudospin formalism is used. Charge susceptibility of the system is calculated and the possibilities of the transitions to the charge density wave order, superfluid and supersolid phases are analysed. We derive an analytic formula for the grand canonical potential and analyse the thermodynamically stable states.

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#### 1. Introduction

Bose-Fermi-Hubbard(BFH)-type models have been widely used in condensed matter physics. An example of the real system where this model can be applied is a crystal intercalated by ions (for example, TiO<sub>2</sub> crystals, intercalated by lithium, such systems can be used as rechargeable high-energy-batteries [1]). The pseudospin-electron model (which is similar to the BFH model with hard-core bosons) of intercalation was formulated in our previous works [2,3]. In such systems ions interact with electrons and effective interaction between ions is formed. In this work we also consider the direct interaction between ion (boson) particles and investigate phase transitions at finite temperature.

45 BFH-type models can also be used to describe mixtures of bosonic and fermionic atomic species in optical lattices [4–9]. By 47 varying the strength of the periodic potential created by the laser beams it is possible to change the interatomic interactions. During the last decade, such systems have been intensively studied both theoretically and experimentally. Extended Bose-Hubbard-type models include an additional interaction between particles at different sites (long-range interaction), this interac-53 tion exists in dipolar cold atoms or polar molecules. Such systems have a long-range boson-boson interaction mediated by their 55 dipole moment, which can be approximated by a nearest neighbour interaction. The possibility of using excited states of optical 57 lattices to generate nearest neighbour interaction between particles was discussed in Ref. [10]. In the Bose-Fermi-Hubbard model 59 the interaction between bosons is mediated by fermionic atoms in a mixture of bosonic and fermionic atoms [9,11]. The additional interaction between bosonic particles leads to the appearance of a supersolid phase, when a superfluid order parameter and crystal order coexist. Interest in the supersolid phase has increased since the observation of the supersolid-like behaviour in the lowtemperature He-experiments [12]. It should be noted that there are rather few studies of the BFH model at finite temperature and away from the half-filling case.

#### 2. Model and results

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The Hamiltonian of the model is

In this work we consider infinite on-site boson-boson interaction 87 and use the pseudospin formalism  $(S_i^z = 1/2$  when there is a boson in a site *i* and  $S_i^z = -1/2$  in the opposite case),  $c_i^+$  and  $c_i$  are fermion 89 creation and annihilation operators, respectively. The first and second terms in Eq. (1) are responsible for nearest neighbour 91 boson hopping and boson-boson interaction, respectively; g-term accounts for the **boson**-fermion interaction energy, we also take into account the kinetic energy of the fermions with t denoting the nearest neighbour tunnelling. The last two terms involve the 95 chemical potentials of the fermions and bosons, respectively, these terms are used to change the filling of the corresponding 97 particles.

The Hamiltonian is decomposed into two parts [11]:

$$H = H_0 + H_{int},\tag{2}$$

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where the unperturbed Hamiltonian 
$$H_0$$
 is obtained in the mean field approximation (MFA)

The Hamiltonian  $H_0$  is diagonalised in the **k**-representation using the unitary transformation in the pseudospin subspace

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$$S_i^z = \sigma_i^z \cos\theta + \sigma_i^x \sin\theta$$
,

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$$S_i^x = \sigma_i^x \cos\theta - \sigma_i^z \sin\theta$$

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$$\sin\theta = -\frac{2\Omega\langle S^x \rangle}{\lambda}, \quad \cos\theta = \frac{h - gn - 2J\langle S^z}{\lambda}$$
  
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$$\lambda = \sqrt{(h - gn - 2J \langle S^z \rangle)^2 + (2\Omega \langle S^x \rangle)^2}$$

$$\Omega \equiv \Omega_{\boldsymbol{q}} = 0, \ J \equiv J_{\boldsymbol{q}} =$$

$$H_{0} = -\sum_{k} (t_{k} + \mu - g \langle S^{z} \rangle) c_{k}^{+} c_{k} - \sum_{i} \lambda \sigma_{i} -Ng \langle S^{z} \rangle \langle n \rangle + N\Omega \langle S^{x} \rangle^{2} - NJ \langle S^{z} \rangle^{2}, \qquad (4)$$

$$H_{int} = \sum_{i} g(S_i^z - \langle S^z \rangle)(n_i - \langle n \rangle) - \sum_{ij} \Omega_{ij}[(S_i^x - \langle S^x \rangle)(S_j^x - \langle S^x \rangle) + S_i^y S_j^y]$$

$$+\sum_{ij}J_{ij}(S_i^z - \langle S^z \rangle)(S_j^z - \langle S_{\perp}^x \rangle),$$
(5)

with *N* denoting the number of lattice sites.

To calculate the density-density correlator  $\mathfrak{G}_{ij}(\tau) = \langle T_{\tau}S_{i}^{z}(\tau)$  $S_i^z(0)$ , we perform an expansion in powers of  $H_{int}$ 

$$35 \qquad \langle T_{\tau} S_i^z(\tau) S_j^z(0) \rangle = \frac{\langle T_{\tau} S_i^z(\tau) S_j^z(0) \sigma(\beta) \rangle}{\langle \sigma(\beta) \rangle_0}$$

37  $\exp(-\beta H) = \exp(-\beta H_0)\sigma(\beta),$ 

$$\sigma(\beta) = T_{\tau} \exp\left[-\int_{0}^{\beta} H_{int}(\tau) d\tau\right],$$
(6)

the averaging  $\langle \ldots \rangle_0$  is performed over the distribution with  $H_0$ , where  $T_{\tau}$  is the imaginary time ordering operator and  $\beta = 1/T$  is 43 the inverse temperature. To calculate the average values of the  $T_{\tau}$ -products, we utilize the diagram technique and Wick's theorem 45 for both the spin and fermi operators [13]. To calculate the mean value of the products of the  $\sigma^z$  operators we perform a semi-47 invariant expansion, for example,  $\langle T_{\tau}\sigma_l^z(\tau)\sigma_m^z(0)\rangle_0 = \langle \sigma^z \rangle^2 + M_{lm}$ , where  $M(\omega_n) = \beta \delta_{\omega_n,0}(\frac{1}{4} - \langle \sigma^z \rangle^2)$  is the semi-invariant in the 49 frequency representation ( $\omega_n$  is a bosonic Matsubara frequency), and  $\langle \sigma^z \rangle = \frac{1}{2} \tanh(\beta \lambda/2)$  is the average value of the pseudospin. 51

At the summation of diagrams we restrict ourselves in the spirit of the random phase approximation (RPA) to the diagrams 53 having a structure of multi-loop chains (fore more details, see Ref. [11]). The junctions between boson (pseudospin) Green's 55 functions  $\langle T_{\tau}\sigma_{l}^{+}(\tau)\sigma_{m}^{-}(0)\rangle_{0} = -2\langle \sigma^{z}\rangle K_{lm}(\tau)$  (where  $K(\omega_{n}) =$  $1/(i\omega_n - \lambda)$ ) and semi-invariants are realised by the boson tunnel-57 ling  $\Omega_q$ , direct boson interaction  $J_q$  and the fermionic loop  $\Pi_{\boldsymbol{q}}(\omega_n) = (1/N) \sum_{\boldsymbol{k}} (n(t_{\boldsymbol{k}}) - n(t_{\boldsymbol{k}+\boldsymbol{q}})) / (i\omega_n + t_{\boldsymbol{k}} - t_{\boldsymbol{k}+\boldsymbol{q}}).$ 59

The Dyson equation for Green's function  $G_{lm}^{\alpha\beta} = -\frac{1}{2} \langle T_{\tau} \sigma_l^{\alpha}(\tau) \rangle$  $\sigma_m^{\beta}(0)$  can be written in the following form: 61

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$$G_{\boldsymbol{q}}^{\alpha\beta}(\omega_n) = G_{(0)\boldsymbol{q}}^{\alpha\beta}(\omega_n) \Delta^{\alpha\beta} + G_{(0)\boldsymbol{q}}^{\alpha\delta}(\omega_n) \Sigma_{\boldsymbol{q}}^{\delta\gamma}(\omega_n) G_{\boldsymbol{q}}^{\gamma\beta}(\omega_n), \tag{7}$$

where  $\Sigma_{\boldsymbol{q}}^{\alpha\beta}(\omega_n) = \Pi_{\boldsymbol{q}}^{\alpha\beta}(\omega_n) + \Omega_{\boldsymbol{q}}^{\alpha\beta}$  is a self-energy part and  $\Delta^{\alpha\beta} = 0$  or 1 depending on the values of  $\alpha, \beta$  ( $\alpha, \beta = +, -, z$ ). The matrix 65 elements  $\Pi_{\boldsymbol{q}}^{\alpha\beta}(\omega_n)$  and  $\Omega_{\boldsymbol{q}}^{\alpha\beta}$  are similar to the ones obtained in

Ref. [11] with the substitution 
$$g^2 \Pi_q \rightarrow g^2 \Pi_q + 2J_q$$
. For example, 67

$$\Pi_{q}^{-+}(\omega_{n}) = \Pi_{q}^{+-}(\omega_{n}) = \Pi_{q}^{++}(\omega_{n})$$
<sup>69</sup>

$$=\Pi_{\boldsymbol{q}}^{--}(\omega_n) = (g^2 \Pi_{\boldsymbol{q}}(\omega_n) + 2J_{\boldsymbol{q}}) \frac{\sin^2 \theta}{2}$$
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$$\Omega_q^{+\,+} = \Omega_q^{--} = -\Omega_q(\cos^2\theta - 1). \tag{8}$$

In a similar fashion, we can derive expressions for other matrix elements. The matrix equations (7) form three independent sets of equations of the third order which can be separately solved. After some tedious algebra we can derive the expression for the densitydensity correlator  $\mathfrak{G}_{q}(\omega_{n})$  which is similar to that obtained in Ref. [11] when we perform the above-mentioned substitution.

79 As reported in Ref. [11], the diagrammatic method allows us to derive the terms proportional to  $\delta_{\omega_n,0}$  which are important and 81 should be considered in the static limit  $\omega \rightarrow 0$ . The equation of motion method for two-time Zubarev Green's functions and 83 decoupling procedure does not allow us to reveal these terms. This is due to the nonergodicity of the considered model. 85

In the following we consider a three-dimensional case (with a lattice constant a = 1), and in our calculations we choose a half width 87 of the fermionic band W to be our energy scale  $(-W < t_k < W)$ . At finite temperature we can consider the transition from the uniform 89 nonsuperfluid normal phase (NR) (at low temperature this is a Mott insulating phase) to the charge density wave (CDW) phase for small 91 values of the bosonic hopping parameter  $\Omega < 2T$ , this inequality is also valid in the considered here case  $J_q \neq 0$  [11]. 93

Lines of the instability with respect to the transition into the charge-ordered phase with different values of the modulation 95 wave vectors  $\mathbf{q} = (q,q,q)$  can be obtained using the condition of divergence of the static density-density correlator  $\mathfrak{G}_{q}(\omega = 0)$ . At 97 half fermionic filling  $n_f = 1/2$  the chess-board phase with the wave vector  $q = (\pi, \pi, \pi)$  has the highest temperature of the instability. 99 In Fig. 1, we show lines of the instability at the fixed non-half fermionic filling  $n_f \neq 1/2$  for two cases: (a) the case of a non-101 superfluid phase (Fig. 1(a)) and (b) the case of a superfluid (SF) phase (Fig. 1(b)). As it was shown in Ref. [11] in the regime of the 103 fixed fermionic chemical potential, the highest temperature of the instability with respect to the transition into the incommensurate 105 modulated phase with  $q \neq (\pi, \pi, \pi)$  is obtained at non-half bosonic filling. Here, we consider the case of the fixed fermionic concen-107 tration and the highest temperature of the instability is reached at half bosonic filling  $n_B = S^z + 1/2 = 1/2$ , see Fig. 1(a). We did not 109 reveal the existence of the supersolid (SS) phase with incommensurate wave vector of modulation  $\mathbf{q} \neq (\pi, \pi, \pi)$  and the supersolid 111 phase with modulation wave vector  $\mathbf{q} = (\pi, \pi, \pi)$  has the highest temperature of the instability, see Fig. 1(b). The appearance of the 113 incommensurate modulated phase is connected with the competition between the effective boson interaction via fermions and 115 the direct boson-boson interaction (it is known that the repulsive direct interaction between bosons leads to the modulated phase 119 with the wave vector of modulation  $\mathbf{q} = (\pi, \pi, \pi)$  only). The presence of the supersolid phase is due to the effective interaction 121 between bosons mediated by fermions and the direct interaction between nearest neighbour hard-core bosons does not lead to the 123 appearance of the supersolid phase.

Now our focus is on the chess-board phase. We consider two 125 sublattices:  $\langle n_{i\alpha} \rangle = n_{\alpha}$ ,  $\langle S_{i\alpha}^z \rangle = \langle S_{\alpha}^z \rangle$ ,  $\langle S_{i\alpha}^x \rangle = \langle S_{\alpha}^x \rangle$ , here  $\alpha = 1, 2$ is a sublattice index and *i* is an elementary cell index. Using the 127 Hamiltonian  $H_0$ , we can obtain the equations for averages  $\langle n \rangle$ ,  $\langle S^z \rangle$ ,  $\langle S^x \rangle$ 129

$$n_{\alpha} = \frac{1}{N} \sum_{k} \frac{1 + \cos(2\phi)}{2} \left( e^{(\lambda_{k\alpha} - \mu)/T} + 1 \right)^{-1}$$
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$$+\sum_{k}^{\kappa} \frac{1 - \cos(2\phi)}{2} \left( e^{(\lambda_{k\beta} - \mu)/T} + 1 \right)^{-1}, \tag{9} 133$$

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Fig. 1. Lines of divergence of the static density-density correlator for W = 1, g = -0.4, J = 0.05,  $n_f = 0.3$ ,  $\Omega = 0$  (a, nonsuperfluid phase) and  $n_f = 0.45$ ,  $\Omega = 0.2$  (b, superfluid phase).



Fig. 2. (a) Phase transition lines of the second (solid line) and first (dashed line) order for W = 1, g = -0.4,  $\mu = 0$ ,  $\Omega = 0$ , J = 0.4. (b) Bosonic and fermionic concentrations as 39 functions of the bosonic chemical potential for T=0.05.

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$$\langle S_{\alpha}^{z} \rangle = \frac{h - gn_{\alpha} - 2J \langle S_{\beta}^{z} \rangle}{2\tilde{\lambda}_{\alpha}} \tanh\left(\frac{\beta\tilde{\lambda}_{\alpha}}{2}\right),$$
(10)

$$\begin{cases} 5 \\ \langle S_{\alpha}^{x} \rangle = \frac{2\Omega \langle S_{\beta}^{x} \rangle}{2\tilde{\lambda}_{\alpha}} \tanh\left(\frac{\beta\tilde{\lambda}_{\alpha}}{2}\right) \end{cases}$$
(11)  
with

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$$\begin{aligned} 49 \qquad \lambda_{\mathbf{k}\alpha} &= g \frac{\langle S_1^z \rangle + \langle S_2^z \rangle}{2} + (-1)^{\alpha} \sqrt{\left(g \frac{\langle S_1^z \rangle - \langle S_2^z \rangle}{2}\right)^2 + t_{\mathbf{k}}^2}, \\ 51 \qquad \sin 2\phi &= \frac{-t_{\mathbf{k}}}{\sqrt{\left(g \frac{\langle S_1^z \rangle - \langle S_2^z \rangle}{2}\right)^2 + t_{\mathbf{k}}^2}}, \\ 53 \qquad \end{aligned}$$

$$\tilde{\lambda}_{\alpha} = \sqrt{(gn_{\alpha} - h + 2J \langle S_{\beta}^{z} \rangle)^{2} + (2\Omega \langle S_{\beta}^{x} \rangle)^{2}}, \quad \alpha \neq \beta.$$
(12)

Next we derive an analytic formula for the grand canonical potential to find thermodynamically stable states:

$$\begin{array}{ll} 61 & \displaystyle \frac{\Phi}{N} = -\frac{T}{N} \sum_{k} \ln\left[\left(1 + e^{(\mu - \lambda_{k1})/T}\right) \left(1 + e^{(\mu - \lambda_{k2})/T}\right)\right] \\ 63 & \\ 65 & \displaystyle -T \ln\left[4\cosh\left(\frac{\beta\tilde{\lambda}_{1}}{2}\right)\cosh\left(\frac{\beta\tilde{\lambda}_{2}}{2}\right)\right] \\ -g(n_{1}\langle S_{1}^{z}\rangle + n_{2}\langle S_{2}^{z}\rangle) + 2\Omega\langle S_{1}^{x}\rangle\langle S_{2}^{x}\rangle - 2J\langle S_{1}^{z}\rangle\langle S_{2}^{z}\rangle. \end{array}$$
(13)

As it was shown in Ref. [11], our scheme for the calculation of the density-density correlator in the RPA and the corresponding 109 averages  $\langle n \rangle$ ,  $\langle S^z \rangle$ , and  $\langle S^x \rangle$  in the MFA is a self-consistent scheme. The phase transition lines are shown in Fig. 2. The phase 111 transition from the normal uniform nonsuperfluid to CDW phase can be of the second or first order (the above-mentioned lines of 113 the instability shown in Fig. 1 allow us to investigate the phase transitions of the second order only). From Fig. 2, we observe that 115 there exists a possibility of the first-order phase transition between two CDW phases (we identify two CDW phases denoted 119 by CDW1 and CDW2 which differ by the average values of the fermionic and bosonic concentrations). 121

In Fig. 3, we show the phase diagrams in the plane  $(h-\Omega)$ . The presence of the direct boson-boson interaction leads to the shift 123 of the supersolid phase into the region with the higher values of the bosonic hopping parameter. With increasing temperature, the 125 regions of the existence of the CDW and supersolid phases are possible for smaller parameter space and the first-order phase 127 transition transforms into the second one.

The existence of the first-order phase transition leads to phase 129 separation in the regime of the fixed concentrations. It is illustrated in Fig. 4. In the regime of the fixed value of the fermionic 131 concentration the system can separate into the uniform and CDW phases (CDW+NR) or into two CDW phases (CDW+CDW), the 133 phase separation takes place at the intermediate values of the

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**Fig. 3.** Phase diagram in the  $(h-\Omega)$  plane for  $W=1, g=-0.4, \mu=0, T=0.05, J=0.4$ .



**Fig. 4.** Phase diagram in the  $(n_f - h)$  plane for  $W = 1, g = -0.4, T = 0.03, J = 0.1, \Omega = 0.$ 

fermionic concentration, at high or low fermionic concentration the system is in the uniform or CDW phases. It should be noted that when the direct boson interaction is not taken into account the phase transition of the first order between two uniform phases and phase separation into two uniform phases with different concentrations is possible [3]. 55

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#### 3. Conclusions

59 The phase transitions in the Bose-Fermi-Hubbard model with direct interaction between bosons have been considered in this 61 work at finite temperature. Examples of the real systems where this model can be applied are intercalated by ions crystals and 63 atoms in optical lattices. The density-density correlator has been calculated in the random phase approximation. The thermodyna-65 mical properties of the model are defined by the effective interaction between bosons via fermions and the direct boson-67 boson interaction. At finite temperature and small values of the bosonic hopping parameter the system can undergoes the phase 69 transition from the uniform nonsuperfluid phase to the chessboard phase. At certain values of the bosonic hopping parameter 71 the phase transition from the superfluid phase to the supersolid phase with a doubly modulated lattice period takes place at the 73 decrease of the temperature. In the regime of the fixed concentration the phase separation into modulated and uniform phases 75 takes place. Phase separation into two phases with different concentrations is important for the intercalated by ion crystals 77 because the chemical potential is constant at the change of the concentrations and this peculiarity is used when constructing 79 batteries.

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